

## **Travel Affidavit**

U.S. regulations require all guests (including minors) visiting Cuba to complete a travel affidavit identifying the category of travel under which you are visiting Cuba.

### **Instructions if you are traveling under the exemption for People-to-People Exchange**

Paragraph 1 P2P Travel and Educational Activities is for passengers traveling to Cuba under the permitted travel category for “People to People” and educational exchange programs. Regulations require all persons traveling to Cuba under the educational exemption for People to People (P2P) programs to participate in a full-time schedule of activities.

The 1<sup>st</sup> and 2<sup>nd</sup> boxes under Paragraph 1 are the applicable sections if you will be participating in P2P exchanges. In order to decide which box or boxes to check within Paragraph 1, consider as follows:

- 1) **1st box under Paragraph 1 515.565(b) titled People-to-People Exchange Arranged by a Sponsoring Organization:** This section means that you are planning on participating FULLY in Carnival-guided group programs arranged and sponsored by Carnival OR in a third-party guided group program arranged and sponsored by a third party U.S. sponsoring organization that is not Carnival. Note: If you check off only the 1<sup>st</sup> box, you will need to sign a new affidavit if you deviate from the Carnival-guided or third-party guided P2P programs. Note: this is the only non-academic P2P exemption available to you if you reserved or purchased your cruise on or after June 16, 2017.
- 2) **2<sup>nd</sup> box under Paragraph 1 515.565(b) titled Self-Guided People-to-People Exchanges:** This section means that you are planning on doing your own P2P exchanges and will NOT participate in any Carnival-guided or third-party guided programs. Notes: the Self-guided P2P exemption (the 2<sup>nd</sup> box) is available to you only if you booked this cruise prior to June 16, 2017. If you check off only the 2<sup>nd</sup> box, you will need to sign a new affidavit if you wish to participate in a Carnival-guided or a third-party guided P2P program.

### **Instructions if you are traveling under any other of the remaining 12 categories of travel**

If you are traveling to Cuba under any of the other permitted categories of travel, please check off the appropriate box under the relevant Paragraph.

### **All Travelers**

If you are not disembarking in Cuba, please check box 14. Otherwise, please check the acknowledgement section located immediately after Paragraph 13 toward the end of the Affidavit.

Present the complete signed Affidavit at embarkation for your cruise and be sure to retain a copy for your records for at least five (5) years.

Stateroom: \_\_\_\_\_

Booking #: \_\_\_\_\_

### GUEST AFFIDAVIT FOR TRAVEL TO CUBA

I acknowledge and understand that U.S. law currently prohibits persons subject to U.S. jurisdiction from traveling to Cuba unless specifically licensed or otherwise authorized under “general licenses” set forth in the Cuban Assets Control Regulations (“CACR”), 31 C.F.R. Part 515, administered by the U.S. Department of Treasury’s Office of Foreign Assets Control (“OFAC”). All travelers, regardless of nationality or U.S. visa status, must comply with the CACR when traveling from the United States on travel with Carnival Cruise Line (“Operator”). By signing my name at the bottom of this Affidavit, I declare that I fall under the category for licensed travel to Cuba that I have checked below and that I will comply with statements below and all the applicable regulatory requirements and restrictions related to my travel to Cuba (all travelers must review and check the last box below).

#### 1. P2P TRAVEL AND EDUCATIONAL ACTIVITIES

- 515.565 (b). *People-to-People Exchange Arranged by a Sponsoring Organization*: I am traveling as part of an educational exchange (not a degree program) and (1) my travel takes place under the auspices of an organization that is a person subject to the U.S. jurisdiction and that sponsors such exchanges to Cuba to promote people-to-people contact; (2) the travel is for the purpose of engaging while in Cuba in a full-time schedule of activities intended to enhance contact with the Cuban people, support civil society, or promote the Cuban people’s independence from Cuban authorities, resulting in meaningful interaction with individuals in Cuba; (3) a person subject to U.S. jurisdiction (*i.e.* U.S. citizen, visa holder, or greencard holder) who is an employee, paid consultant or agent of the sponsoring organization is accompanying the group to ensure that each traveler has a full time schedule of educational exchange activities; and (4) the predominant portion of activities to be engaged in will not be with individuals or entities acting for or on behalf of a prohibited member of the Government of Cuba or the Cuban communist Party as those terms are defined in the CACR.
- 515.565(b). *Self-Guided People-to-People Exchange (available only if you booked this cruise prior to June 16, 2017)*: I am traveling on a “self-guided” people-to-people exchange program (1) for the purpose of engaging while in Cuba in a full-time schedule of activities intended to enhance contact with the Cuban people, support civil society, or promote the Cuban people’s independence from Cuban authorities, resulting in meaningful interaction with individuals in Cuba; (2) the predominant portion of activities to be engaged in will not be with individuals or entities acting for or on behalf of a prohibited member of the Government of Cuba or the Cuban communist Party as those terms are defined in the CACR; and (3) I will maintain records demonstrating my compliance with these requirements for a period of no less than five (5) years.
- 515.565(a). I am a full-time permanent employee or an undergraduate or graduate student at an accredited U.S. academic institution, my travel is under the auspices of the academic institution, and my travel is incident to one of the following: (1) participation in a structured educational program in Cuba as part of a course offered at the U.S. institution, provided the program includes a full term, and in no instance includes fewer than 10 weeks, of study in Cuba, (2) noncommercial academic research in Cuba specifically related to Cuba and for the purpose of obtaining a graduate degree, (3) participation in a formal course of study at a Cuban academic institution, provided the formal course of study in Cuba will be accepted for credit toward the student’s undergraduate or graduate degree at the U.S. institution and provided that the course of study is no shorter than 10 weeks in duration, (4) teaching at a Cuban academic institution by an individual regularly employed in a teaching capacity at the U.S. institution, provided the teaching activities are related to an academic program at the Cuban institution and provided that the duration of the teaching will be no shorter than 10 weeks, or (5) the organization of, and preparation for, the activities described above by a full-time permanent employee of the U.S.

institution. I attest that I have a letter from the U.S. academic institution confirming my student or employee status, stating the purpose of the trip, and otherwise in accordance with section 515.565(a)(1). I am not traveling to Cuba to engage in activities that are primarily tourist-oriented, including self-directed educational activities that are intended only for personal enrichment; or

I am a faculty member, staff person, or student of an academic institution, and my travel is incident to (1) participation in a structured educational program in Cuba as part of a course offered for by a U.S. graduate or undergraduate degree-granting academic institution that is sponsoring the program, (2) noncommercial academic research in Cuba specifically related to Cuba and for the purpose of obtaining an undergraduate or graduate degree, (3) participation in a formal course of study at a Cuban academic institution, provided that you are a student in the United States and the formal course of study in Cuba will be accepted for credit toward your graduate or undergraduate degree, (4) teaching at a Cuban academic institution related to an academic program at the Cuban institution, provided that you are regularly employed by a U.S. or other non-Cuban academic institution, (5) educational exchanges sponsored by Cuban or U.S. secondary schools involving secondary school students' participation in a formal course of study or in a structured educational program offered by a secondary school or other academic institution and led by a teacher or other secondary school official (this includes participation by a reasonable number of adult chaperones to accompany the secondary school students to Cuba), (6) sponsorship or co-sponsorship of noncommercial academic seminars, conferences, and workshops related to Cuba or global issues involving Cuba and attendance at such events by faculty, staff, and students of a participating U.S. academic institution, or (7) the organization of, and preparation for, activities described above by members of the faculty and staff of the sponsoring U.S. academic institution or secondary school. I am engaging in this authorized educational activity under the auspices of an organization that is subject to U.S. jurisdiction and I understand that I must be accompanied during the planned travel by a person subject to U.S. jurisdiction who is a representative of the sponsoring organization as required. I am not traveling to Cuba to engage in activities that are primarily tourist-oriented, including self-directed educational activities that are intended only for personal enrichment.

**2. FAMILY VISITS**

- 515.561. I am traveling either by myself or with persons who share a home as a family with me, for purposes of visiting a "close relative" in Cuba who is either a national of Cuba, a person ordinarily resident in Cuba, or located in Cuba, or for the purposes of accompanying a family member traveling to Cuba pursuant to the OFAC authorizations for official government business, journalistic activity, professional research, educational activities, religious activities, humanitarian projects, or activities of private foundations or research or educational institutes. (The term "close relative" means any individual related by blood, marriage, or adoption who is no more than three generations removed from you or from a common ancestor. For example, your mother's first cousin is your "close relative", because you are both no more than three generations removed from your great-grandparents, who are the ancestors you have in common. Similarly, your husband's great-grandson is your "close relative", because he is no more than three generations removed from your husband. Your daughter's father-in-law is not your "close relative", because you have no common ancestor.)

**3. OFFICIAL BUSINESS OF THE U.S. GOVERNMENT, FOREIGN GOVERNMENTS AND CERTAIN INTERGOVERNMENTAL ORGANIZATIONS**

- 515.562. I am an employee, contractor or grantee of the U.S. government, a foreign government or any intergovernmental agency of which the United States is a member or holds observer status, and I am traveling on official business of my government or intergovernmental organization.

**4. JOURNALISTIC ACTIVITIES**

- 515.563. I am traveling to engage in journalistic activities and I am regularly employed as a journalist, supporting broadcast or technical personnel by a news reporting organization, or as a freelance journalist with a record of previous journalistic experience working on a freelance journalistic project, or as broadcast or technical personnel with a record of previous broadcast or technical experience who are supporting a freelance journalist. My schedule of activities in Cuba will constitute of a full-time schedule of journalistic activities that do not include free time or recreation in excess of that consistent with a full-time schedule.

**5. PROFESSIONAL RESEARCH OR MEETINGS**

- 515.564(1). I am traveling to conduct research directly related to my profession, professional background, or area of expertise, including area of graduate-level full-time study. My professional research in Cuba will comprise a full-time schedule and I will not engage in recreational travel, tourist travel, travel in pursuit of a hobby, or research for personal satisfaction only.
- 515.564(2). I am traveling to attend or organize a professional meeting or conference directly related to my profession, professional background, or area of expertise, including area of graduate-level full-time study, or on behalf of an entity organizing a professional meeting or conference for which I am an employee or contractor. I will not engage in recreational travel, tourist travel, or travel in pursuit of a hobby, and my activities in Cuba will not include free time or recreation in excess of that consistent with a full-time schedule of attendance or organization of the professional meeting or conference.

**6. RELIGIOUS ACTIVITIES IN CUBA**

- 515.566. I am a person subject to U.S. jurisdiction, including religious organizations located in the U.S. and members and staff of such organizations, traveling to engage in a full-time schedule of religious activities in Cuba and transactions directly incident to such religious activities.

**7. PUBLIC PERFORMANCES, CLINICS, WORKSHOPS, ATHLETIC AND OTHER COMPETITIONS, AND EXHIBITIONS**

- 515.567(a). I am authorized to travel to engage in activities directly incident to athletic competition by amateur or semi-professional athletes or athletic teams traveling to participate in athletic competition in Cuba or directly incident to organization of such competitions, where the athletic competition in Cuba is held under the auspices of the international sports federation for the relevant sport, the U.S. participants in the athletic competition are selected by the U.S. federation for the relevant sport, and the competition is open for attendance, and in relevant situations, participation, by the Cuban public.
- 515.567(b). I am authorized to travel to Cuba to engage in activities directly incident to participation in or organization of a public performance, clinic, workshop, athletic competition not covered by the preceding paragraph, non-athletic competition, or exhibition in Cuba, where the event is open for attendance, and in relevant situations participation, by the Cuban public.

**8. SUPPORT FOR THE CUBAN PEOPLE**

- 515.574. I am a person whose travel to Cuba is directly incident to activities for the support of the Cuban people, and I am one of the following: (1) an employee of a recognized human rights organization, (2) an employee of an independent organization designed to promote a rapid, peaceful transition to democracy in Cuba, (3) an employee of a non-governmental organization that promotes independent activity intended to strengthen civil society in Cuba, or (4) an individual that promotes independent activity intended to strengthen civil society in Cuba. I will engage in a full-time schedule of activities that enhance contact with the Cuban people, support

civil society in Cuba, or promote the Cuban people's independence from Cuban authorities. My activities will result in meaningful interaction with individuals in Cuba.

**9. HUMANITARIAN PROJECTS**

- 515.575. My travel is directly related to humanitarian projects designed to directly benefit the Cuban people that relates to one of the following: (1) medical and health-related projects, (2) construction projects intended to benefit legitimately independent civil society groups, (3) disaster preparedness, relief, and response, (4) environmental projects, (5) projects involving formal or non-formal educational training on the following topics: entrepreneurship and business, civil education, journalism, advocacy and organizing, adult literacy, or vocational skills, (6) community-based grassroots projects, (7) projects suitable to the development of small-scale private enterprise, (8) projects that are related to agricultural and rural development that promote independent activity, (9) microfinancing projects, except for loans, extensions of credit, or other financing related to confiscated property in Cuba the claim to which is owned by a United States national, or 10) projects to meet basic human needs. My activities in Cuba will not include free time or recreation in excess of that consistent with a full time schedule of humanitarian activities designed to directly benefit the Cuban people.

**10. ACTIVITIES OF PRIVATE FOUNDATIONS OR RESEARCH OR EDUCATIONAL INSTITUTES**

- 515.576. I am employed by a private foundation or research or education institute with an established interest in international relations and I am traveling to collect information related to Cuba for noncommercial purposes. My schedule of activities in Cuba will not include free time or recreation in excess of that consistent with a full time schedule of activity for the private foundation or research or educational institute of which I am an employee or member.

**11. TRANSACTIONS RELATED TO INFORMATION OR INFORMATIONAL MATERIALS**

- 515.545(b)(1). I am authorized to travel for activities directly incident to the exportation, importation or transmission of information or informational materials, which are defined as publications like books or magazines, films, posters, phonograph records, photographs, microfilms, microfiche, tapes, CDs, certain artworks (such as paintings, drawings or pastels executed entirely by hand, engravings, prints or lithographs, sculptures or statuary) and news wire feeds. My schedule of activities in Cuba will not include free time or recreation in excess of that consistent with a full time schedule of activities related to the exportation, importation or transmission of information or informational materials.
- 515.545(b)(2). I am authorized to travel for activities directly incident to professional media or artistic productions of information or informational materials for exportation, importation, or transmission, including the filming or production of media programs (such as movies or television programs), the recording of music, and the creation of artworks in Cuba, and I am regularly employed in or have demonstrated professional experience in a field relevant to such professional media or artistic productions. My schedule of activities in Cuba will not include free time or recreation in excess of that consistent with a full time schedule of activities related to professional media or artistic productions.

**12. CERTAIN AUTHORIZED EXPORTS**

- 515.533(d). I am authorized to travel to conduct market research, commercial marketing, sales or contract negotiation, accompanied delivery, installation, leasing, or servicing in Cuba of items consistent with the export or re-export licensing policy of the Department of Commerce. My schedule of activities in Cuba will not include free time or recreation in excess of that consistent with a full time schedule of such market research, commercial marketing, sales negotiation, accompanied delivery, or servicing activities.

- In addition to signing this affidavit, I will provide Operator a copy of my license from the U.S. Department of Commerce authorizing the export of the relevant items to Cuba, or a copy of the regulatory citation in the Export Administration Regulations that demonstrates that the U.S. Department of Commerce will likely approve my request for a license to export the relevant items to Cuba.

**13. SPECIFIC LICENSE**

- I hereby certify and attest that I am authorized to travel to Cuba pursuant to specific license number \_\_\_\_\_ issued by OFAC and valid until \_\_\_\_\_.

**14. NOT DISEMBARKING IN CUBA**

- I hereby certify and attest that I am not disembarking for travel in Cuba. \_\_\_\_\_.

□ **ALL TRAVELERS DISEMKARING IN CUBA MUST CHECK THIS BOX CONFIRMING THEIR AGREEMENT WITH THESE STATEMENTS**

The items I may carry with me to Cuba are limited to the following personally owned items (1) usual and reasonable kinds and quantities of personal effects for personal use of wearing apparel, articles of personal adornment, toilet articles, medicinal supplies, food, games, and similar personal effects, and their containers; and (2) usual and reasonable kinds and quantities of tools, instruments, or equipment and their containers appropriate for use by the traveler. These items must be intended for and appropriate for my use on this trip, and not for sale in Cuba. I must bring these items back with me from Cuba unless I consume them abroad.

I may not engage in direct financial transactions with any entity or sub-entity listed on the U.S. State Department's "Cuba Restricted List" while traveling in Cuba. I have reviewed the Cuba Restricted List on the U.S. State Department website and I understand that this prohibition applies to various businesses catering to the tourism industry, including but not limited to certain hotels, stores, shopping centers, and tourist agencies.

I am permitted to acquire in Cuba and import into the United States, only the items authorized under the CACR, as follows:

- Cuban-origin goods as accompanied baggage for personal use (not for resale or other commercial purposes), including: alcohol or tobacco products. There is no limit on the value of the goods that may be brought back from Cuba for personal use. Goods brought back to the United States from Cuba as accompanied baggage are subject to the normal customs value limits on duty and tax exemptions for merchandise imported as accompanied baggage and for personal use.
- Informational materials of unlimited value, such as publications like books or magazines, films, posters, phonograph records, photographs, microfilms, microfiche, tapes, CDs, certain artworks (such as paintings, drawings or pastels executed entirely by hand; engravings, prints or lithographs; sculptures or statuary) and news wire feeds.
- My authorized traveler's baggage that I took to Cuba and did not consume while abroad.
- Certain goods for commercial use or resale that have been produced by independent (non-government) Cuban entrepreneurs (this must be proven by taking a picture or obtaining a copy of the Cuban entrepreneur's license from the Cuban government to work as an independent entrepreneur, or documentable confirmation that the entity providing the goods is not owned by the Cuban government, which must be kept in my records). Such goods are not required to be imported into the United States as accompanied baggage and are not subject to the requirement for personal use applicable to other Cuban-origin goods imported by travelers returning to the United States. Goods permitted for import for resale or commercial sale are goods produced by independent Cuban entrepreneurs, except for the following types of goods:
  - Live animals and animal products (including animal fats and oils)
  - Vegetable products (including vegetable fats and oils), except coffee;
  - Prepared foodstuffs, beverages, spirits, vinegar and tobacco;
  - Mineral products;
  - Certain chemical or allied industry products

- o Base metals and base metal articles;
- o Electrical equipment;
- o Vehicles, aircraft, or vessels;
- o Arms or ammunition

I am required to keep a copy of records establishing my eligibility to travel to Cuba and bring the above listed items back, for at least 5 years.

I understand that my bank and/or credit card companies may restrict my ability to conduct transactions while in Cuba, and I will comply with any applicable rules regarding my financial activities while in Cuba.

I understand that, to the extent I participate in any authorized activity other than People-to-People exchanges organized by Operator, I will need to collect and maintain my own records (including supporting documentation and other evidence) of such activities for a period of at least 5 years.

I understand that I am required to abide by U.S. law as well as the policies and procedures of Operator for purposes of this travel. I agree to comply with all requirements of U.S. law and regulations and all Operator instructions with respect to this Cruise, including the specific limitations, restrictions and conditions on activities applicable to Travelers traveling from the United States to Cuba, regardless of nationality. I understand that failure to do so may result in personal liability under U.S. law, including the CACR, and I absolve Operator from any claims or liabilities.



Guest Information and Signature:

\_\_\_\_\_  
Name (please print)

\_\_\_\_\_  
Permanent Address:

\_\_\_\_\_  
Phone Number

\_\_\_\_\_  
Passport Number and Country of Issuance

\_\_\_\_\_  
Date of Birth

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

Parent or legal guardian of \_\_\_\_\_ (a minor)

\_\_\_\_\_  
Parent/Legal Guardian Name (please print)

\_\_\_\_\_  
Signature of Parent/Legal Guardian

\_\_\_\_\_  
Date